ESSENTIAL SERVICES COMMISSION

ELECTRICITY GENERATION LICENCE

WESTWIND ENERGY PTY LTD
ACN 109 132 201

As Varied on 28 September 2016

C/16/18957

GENERATION LICENCE

This Licence is issued pursuant to section 19 of the *Electricity Industry Act 2000* (Vic) and is subject to the terms set out in this Licence.

Date

This Licence is issued on 13 April 2011.

Licensee

This Licence is issued to:

WestWind Energy Pty Ltd ACN 109 132 201 (the Licensee)

Office 5, Level 1

Nexus Centre

12 - 15 Prince Street

Gisborne VIC 3437

THE COMMON SEAL of the ESSENTIAL SERVICES COMMISSION was affixed pursuant to the authority

of the Commission

Dr Ron Ben-David

Chairperson

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Part A - Interpretation

Definitions 1.

Unless the contrary intention appears a term in bold type has the 1.1. meaning shown opposite it:

Act

the Electricity Industry Act 2000 (Vic)

AEMO

Australian Energy Market Operator ACN 072 010 327

administrator

an administrator appointed by the Commission under

section 34 of the Act

business day

a day other than a Saturday, Sunday or a public holiday

in Victoria

Commencement

Date

[the date this Licence is issued, as noted on the first

page of the Licence]

Commission

the Essential Services Commission established under

the ESC Act

Electricity

Distribution

Code

the code of that name certified by the Commission

Electricity System Code the code of that name certified by the Commission

ESC Act

the Essential Services Commission Act 2001 (Vic)

final

a final order made and served by the Commission under

enforcement

order

section 53 of the ESC Act

guideline

a guideline published by the Commission

licensed power

stations

Yendon Wind Farm Pty Ltd, and Elaine Wind Farm Pty

Minister

The person who is, from time to time, the Minister for the

purposes of the relevant section of the Act

National

Electricity Rules

has the same meaning as in the Act

objectives

the objectives specified in section 10 of the Act and

section 8 of the ESC Act

Order

an Order in Council made or in force under the Act

prohibited

interest

has the meaning given in section 68 of the Act

provisional

enforcement

a provisional order made and served by the Commission under section 53 of the ESC Act

order

an undertaking given by the Licensee under section

53(5)(a) of the ESC Act

undertaking

wholesale

has the same meaning as in the Act

electricity market

writing

any mode of representing or reproducing words, figures,

drawings or symbols in a visible form

total generating capacity entitlement

the aggregate of all entitlements to generating capacity of the Licensee within the meaning of Part 3 of the Act

- 1.2. In this Licence, unless the context otherwise requires:
 - headings and footnotes are each for convenience only and do not affect the interpretation of this Licence;
 - b. words importing the singular include the plural and vice versa;
 - c. words importing a gender include any gender;
 - an expression importing a natural person includes any company, partnership, trust, joint venture, association, corporation or other body corporate and any governmental agency;
 - e. a reference to a condition, clause, or part is to a condition, clause, or part of this Licence;
 - f. a reference to any statute including the Act and regulation, proclamation, Order in Council, ordinance or by-law includes all statutes, regulations, proclamations, Orders in Council, ordinances or by-laws varying, consolidating, re-enacting, extending or replacing them and a reference to a statute includes all regulations, proclamations, Orders in Council, ordinances, by-laws and determinations issued under that statute;
 - g. a reference to a document or a provision of a document includes an amendment or supplement to, or replacement or novation of, that document or that provision of that document;
 - h. a reference to a person includes that person's executors, administrators, successors, substitutes (including, without limitation, persons taking by novation) and permitted assigns;
 - other parts of speech and grammatical forms of a word or phrase defined in this licence have a corresponding meaning;
 - j. a period of time:
 - A. which dates from a given day or the day of an act or event is to be calculated exclusive of that day; or
 - B. which commences on a given day or the day of an act or event is to be calculated inclusive of that day; and

Prohibited interests

- 7.1. The Licensee must not hold a prohibited interest.
- 7.2. The Licensee must notify the Commission as soon as it becomes aware of any other person holding a prohibited interest in it.
- 7.3. The Licensee must comply with any notice issued by the Commission under section 70 or 71 of the Act.

8. Provision of information

- 8.1. The Licensee must notify the Commission as soon as reasonably practicable after it becomes aware of any breach by it of the conditions of this License including any of the instruments referred to in clause 6.1.
- 8.2. The Licensee must as soon as reasonably practicable provide AEMO with such information as AEMO may properly request in connection with the performance of its functions or the exercise of its powers under the Act in the manner and form specified by AEMO.
- 8.3. The Licensee must as soon as reasonably practicable provide the Commission with such information as the Commission may properly request in connection with the performance of its functions or the exercise of its powers under the Act in the manner and form specified by the Commission.
- 8.4. The Licensee must, as soon as reasonably practicable, upon request of and in a manner and form specified by the Commission, provide the Commission with details of its ongoing technical capacity to undertake the activities authorised by this Licence.

9. Revocation of the Licence

- 9.1. The Commission may revoke this Licence:
 - a. at any time at the request of or with the consent of the Licensee; or
 - b. in accordance with sections 71(5) and 73 of the Act.
- 9.2. The Commission may revoke this Licence:
 - a if the Licensee fails to comply with an undertaking or a final enforcement order;
 - the Licensee breaches any condition of this Licence, including any condition deemed to apply by the Act or any other legislation;
 - any information provided by the Licensee pursuant to this Licence or in its application for the Licence is found to be false or misleading;
 - the Licensee's financial viability or technical capacity is such that the Commission considers that the Licensee would be unable to satisfactorily meet its obligations under this Licence; or
 - the Licensee fails to comply with a decision, direction, determination or arrangement (as the case may be) made by or agreed with the Commission, AEMO, Energy Safe Victoria or the Minister.

- 9.3. Where the Commission decides to revoke this Licence, the Commission will issue a notice to the Licensee, specifying:
 - a. the basis upon which the Commission is revoking this Licence; and
 - b. the date upon which the revocation will take effect, such date to be:
 - A. where clause 9.2.a applies or where in the Commission's opinion it is appropriate that the Licence be revoked in a shorter time frame having regard to its objectives and the events or circumstances that gave rise to the notice being issued, no less than 5 business days after the date upon which the notice is issued; and
 - B. in all other cases, no less than 20 business days after the date upon which the notice is issued,

and, subject to clause 9.4, this Licence will be revoked on the date specified in the notice.

9.4. The Commission may, at its discretion and by written notice to the Licensee, withdraw a notice issued under clause 9.3 at any time prior to the revocation date specified in the notice if the Commission is satisfied that the Licensee has complied with the relevant enforcement order or undertaking, rectified the breach or complied with the relevant decision, direction, determination or arrangement (as the case may be) or if the Commission considers that it is otherwise appropriate to withdraw the notice.

10. Variation

- 10.1. For the purposes of section 29(1)(a) of the Act, the Commission may vary this Licence in accordance with the procedures set out in this clause 10.
- 10.2. Where the Commission:
 - a. wishes to amend the list of instruments referred to in clause 6.1; or
 - b. is of the opinion that a proposed variation to the Licence is consistent with its objectives and is:
 - A. of an administrative or trivial nature; or
 - B. required urgently, such that it would not be appropriate to issue a notice under section 29(1)(c) of the Act,

the Commission may issue a notice to the Licensee.

- 10.3. A notice issued under clause 10.2 will include:
 - a. the terms of the variation;
 - b. the purpose of the variation;
 - where clause 10.2.b.B applies, confirmation that the Commission is of that opinion; and
 - d. the date upon which the variation will take effect.

11. Audit

- 11.1. Upon request by the Commission, the Licensee must appoint an auditor to be approved by the Commission to conduct audits of:
 - the Licensee's compliance with its obligations under the Act or this Licence, including but not limited to the codes and guidelines referred to in clause 6.1; and
 - the reliability and quality of information reported by the Licensee to the Commission and the consistency of that information with the Commission's specifications[; and
 - c. any other matter as directed by the Commission.
- The Licensee must ensure that the Commission is promptly provided with a copy of any reports produced by the auditor pursuant to this clause. The Licensee must comply, and must require the auditor to comply, with any guidelines issued by the Commission dealing with audits under this clause.

Schedule 1 – Variations to the Licence

Date	Variation
28 September 2016	Licence varied by the Essential Services Commission removing reference to Moorabool Wind Farm Pty Ltd and Moorabool South Wind Farm Pty Ltd from the definition of "licensed power stations' in condition 1.1.