

# Electricity generation licence application form

## Purpose of this form

This form must be completed by persons applying to the Essential Services Commission (the commission) for a licence to authorise electricity generation in Victoria. Electricity generation includes battery energy storage systems.

#### Basis for this form

Section 18 of the *Electricity Industry Act 2000* (the Industry Act) provides that a licence application must be made in a form approved by the commission. This is the form approved by the commission.

## Use of this form and the applicant's responsibilities

A licence application may be made by any legal person including, without limitation, individuals, incorporated associations, unit and other forms of trusts and corporations. Entities that are not a legal person (for example, an unincorporated joint venture or a partnership) cannot apply for a licence.

For the purpose of this application form, reference to the term "Officer" includes the applicant's directors and secretary, and other persons who make or participate in making decisions that affect a substantial part of the business (for example, Chief Executive Officer, Chief Financial Officer or General Manager).

The applicant should list the information requested in the spaces provided in this form and enclose additional information when required.

The applicant must take all reasonable steps to ensure the information provided in the application form is complete, true and correct.

An officer of the applicant is required to make a declaration to this effect in the application form. Failure to disclose information or misrepresent any matter relevant to such information may result in a licence not being issued or in the revocation of a licence later.

It is a criminal offence under section 61A of the *Essential Services Commission Act 2001* to provide the commission with false or misleading information or documentation.

The applicant is responsible for providing the commission with current, accurate and relevant documentation. It is the applicant's responsibility to make all reasonable inquiries to obtain the information requested by this form.

Providing accurate and relevant information and a complete application (answering all questions and providing all information) will assist in timely processing of an application. All applications are assessed on a case-by-case basis. If insufficient information is provided with an application, we will contact the applicant about the requirement for additional information to be submitted before the application is considered further.

## Prior reading

It is expected that the applicant has read our <u>Guideline: Applications for electricity and gas industry</u> <u>licences</u> before completing this form.

It is the applicant's responsibility to ensure its compliance with legal obligations when applying for a licence.

#### Licence conditions

Section 20 of the Industry Act authorises the commission to issue licences subject to conditions as decided by the commission. Licences are published on our <u>website</u>. We recommend the applicant familiarise itself with the relevant standard conditions and be confident that it can comply with those conditions prior to applying for a licence.

#### Further information

The applicant should note that we may ask for further information, or to clarify the information that the applicant has already provided with the application.

# Consultation and confidentiality

We will consult with relevant government, industry and consumer groups on the licence application through a public consultation process. Applications and/or supporting information that is not confidential will be made available on our website.

If the applicant believes that any information provided as part of its application is confidential or commercially sensitive, it is the applicant's responsibility to clearly identify this information on those documents. The applicant should also provide a 'non-confidential' version of the application form and documents for publication on our website.

## How to lodge an application

The applicant may send the completed application form electronically (preferred) or in hard copy to:

Electronically: licences@esc.vic.gov.au

Hard copy: Market Operations, Energy Division

Essential Services Commission

Level 8, 570 Bourke Street

Melbourne VIC 3000

### Large files

Applicants generally need to send us large files which is often not suitable via email. Please contact us at <a href="mailto:licences@esc.vic.gov.au">licences@esc.vic.gov.au</a> to discuss alternative options to provide an application to the commission.

## Application fees and annual licence fees

The commission has the authority to set a licence application fee. Currently, there is no application fee.

Holding a licence incurs annual licence fees. Refer to the commission's <u>Guideline: Applications for electricity and gas industry licences</u> for more information regarding annual licence fees.

## 1. General Information - The Applicant

The applicant must answer all questions in this section.

## 1.1 Legal name of applicant

State the full legal name of the applicant. The applicant is the person who will be generating electricity and/or selling (wholesale) electricity that will be the subject of the licence.

# Response Name: Wooreen Project Pty Ltd as trustee for the Wooreen Project Trust

## 1.2 Legal identity of applicant

Provide the applicant's ABN and ACN (where relevant) and information about the applicant (for example, whether the applicant is a private limited company, trust, or joint venture).

```
Response

ABN/ACN: Wooreen Project Pty Ltd (ACN 679 541 658) as trustee for the Wooreen Project Trust (ABN 27 210 168 496)

Type of entity: Trust
```

#### 1.3 Contact details and address of the applicant

The applicant
Response
Business address: Level 19, Two Melbourne Quarter, 697 Collins St, Docklands VIC 3008.
Postal address (if different): as above
Full name of contact person: Matt Bruers
Position title: Development Director
Phone:
Email:
,

## 1.4 Diagram of corporate and organisational structure

Attach a diagram illustrating the corporate structure, including details of any related companies within the meaning of the *Corporations Act 2001* and the organisational chart. Provide a diagram of the:

 a) corporate structure (including any parent and related companies within the meaning of the Corporations Act 2001), and

Attachment reference: [1.4.a Wooreen SPV entity structure and org chart.pdf]

 b) organisational chart (including composition of the board, management, and other key personnel responsible for the key functions).

Attachment reference: [1.4.a Wooreen SPV entity structure and org chart.pdf]

# Response Attachment: 1.4.a Wooreen SPV entity structure and org chart.pdf

#### 1.5 The licence

### The applicant must answer all questions in this section.

If the applicant is seeking for a licence to be issued by a certain date, identify this date. **Note: we do not undertake to issue the licence by this date**. The applicant should usually allow a minimum of eight to 10 weeks **once we consider the application to be complete**. An application is considered complete once we have all the information needed for the commission to make a decision. In other words, when we have no need to request further information from the applicant. This includes a public consultation period of four weeks (generally) as part of our consideration of licence applications.

Provide details on the following:		
Provide details on the following:		
Date from which licence is sought:	1 February 2026	
Type of generation:	Battery energy storage system (BESS)	
Expected name plate capacity:	491.68 MVA (112 x 4.39 MVA inverters) 350 MW power max at point of connection	
Location of generation facility:	30 Bonds Lane, Hazelwood North, Victoria	
Details of how the generator will be connected to the network:	A new substation for the facility will be constructed that will connect via a short (600m) 220 kV transmission line to AusNet's Jeeralang 220 kV Terminal Station (JLTS).	

## 2. Technical capacity

The applicant must answer all questions in this section.

## 2.1 Experience and knowledge of the industry

Provide information about the human resources available to the applicant. This includes:

- a) The experience and qualifications of those employees outlined in the organisational chart (see 1.4b);
- b) If the applicant will employ contractors or agents to assist with the licensed activities, the name of those contractors or agents, details about the experience of the contractors or agents in such operations and details of the processes in place to ensure the contractors or agents comply with the licence conditions, including relevant regulatory obligations

***************************************	**************

Where the applicant is relying on a third party to provide staff and/or resources to meet the technical capacity requirements of the generation and wholesale licence, provide:

- the experience and qualifications of any relevant key employees who will manage those systems and processes;
- d) if the applicant will engage third parties to assist with the licensed activities, provide the following information in relation to each third party:
  - (i) the name of that third party
  - (ii) the scope of activities undertaken by the third party
  - (iii) details and copies of any agreements for the provision of services
  - (iv) details about the experience of the third party in relation to the activities that it will be undertaking, including any accreditations
  - details of the processes in place to ensure the third party complies with the licensee's regulatory obligations.

Response	
Summarised in attachment:	
Attachment: 2 Technical capacity including team bios.pdf	

## 2.2 Risk management

- a) Provide confirmation and evidence that the applicant has identified the risks associated with electricity generation and wholesale operations. Additionally, provide evidence that the applicant has established, utilised and relied upon risk management systems and processes which are adequate, accurate and current to address those risks.
- b) Provide a copy of the applicant's risk management strategy. A statement should also be provided (or supporting document must make it clear) whether the strategy has been developed in line with any Australian or International Standard (for example, ISO 31000:2018).
- Provide a copy of a risk register that identifies risks, controls and mitigations.

#### Response

As the operator of the Wooreen BESS, EnergyAustralia through its broader operations has significant experience in systematically identifying the risks associated with electricity generation and wholesale operations through dedicated operations and trading teams.

Our approach is fully aligned with ISO 31000:2018 and incorporates both bottom-up and top-down processes to ensure all material risks are captured, assessed, and managed. Risks are documented in business unit risk registers and aggregated for enterprise-wide oversight, with regular reviews by risk committees and independent assurance functions. This has been extended to the Wooreen BESS operations.

Our risk management system covers the identification, analysis, evaluation, and treatment of risks-including operational, financial, regulatory, environmental, and health and safety risks-using a structured methodology and risk heat map. Controls and mitigation plans are implemented and monitored, with clear escalation and reporting protocols. The policy mandates that risk management is the responsibility of all employees and contractors, supported by dedicated risk coordinators and the independent EA Risk function.

Evidence of our robust and current risk management systems includes regular risk reporting to the Board, documented risk registers, and compliance with subsidiary risk policies for wholesale and retail markets. This framework ensures that our risk management practices are adequate, accurate, and continuously improved to address the evolving risks of electricity generation and wholesale operations.

#### Attachments:

2.2.b Risk Management Policy.pdf

2.2.c WOOREEN BESS EXECUTION RISK ASSESSMENT.pdf

......

#### Attachment reference:

# 2.3 Registration with the Australian Energy Market Operator and generator performance standards

Advise if the applicant will apply to register with the Australian Energy Market Operator (AEMO). If so, provide evidence of registration or exemption, or intending registration or exemption (for example, correspondence between the applicant and AEMO). If the applicant is not registering with AEMO, describe why that is the case.

Provide confirmation from AEMO that proposed negotiated generator performance standards will meet requirements for power system security and reliability under the National Electricity Rules.

#### Response

#### Registration with AEMO:

As required by the NER, the facility will be registered as a market scheduled bidirectional unit, under an Integrated Resource Provider Participant ID.

- The proposed registrations would be:
  - EnergyAustralia Pty Ltd Intermediary and the registered participant for the BESS ('Controller' for the BESS).
  - Wooreen Project Pty Ltd will apply for an exemption from registration naming EA Pty Ltd as its intermediary ('Owner' for the BESS).
  - EnergyAustralia Asset Mgt Pty Ltd will apply for an exemption from registration naming EA Pty Ltd as its intermediary ('Operator' for the BESS).

#### GPS requirements:

AEMO approved the negotiated generator performance standards and notified AusNet of their acceptance.

Attachment: 2.3 Wooreen - Grid Connection 5.3.4 and GPS.pdf

#### 2.4 Licences held in other jurisdictions

If the applicant holds, or has previously held, electricity and/or gas licences or authorisations in other jurisdictions provide details. If a licence or authorisation previously held has been suspended or cancelled, provide details.

Response	
Trust, was project.	cant, Wooreen Project Pty Ltd as trustee for the Wooreen Project secently created for the purpose of developing and owning the Consequently, the applicant does not hold, and has not previously electricity or gas licences or authorisations.

## 2.5 Previous unsuccessful licence applications in other jurisdictions

Confirm whether the applicant has applied for an electricity or gas licence in another jurisdiction and not been issued with a licence or authorisation, provide details.

Respo	onse
	applicant as the owner of a new BESS facility in the NEM has never applied an electricity or gas licence in any Australian jurisdiction.

## 2.6 Licences held by associates of the applicant

If an associate (within the meaning of the *Corporations Act 2001*) holds an electricity or gas licence in Victoria or in other Australian jurisdiction, provide details.

Response
Associates of the applicant, holds the following licences and authorisations:
EnergyAustralia Pty Ltd:
<ol> <li>Electricity Retail Licence (Victoria)</li> <li>Gas Retail Licence (Victoria)</li> <li>Authorised Electricity Retailer (Queensland, New South Wales, Australian Capital Territory, South Australia, Tasmania)</li> <li>Authorised Gas Retailer (Queensland, New South Wales, Australian Capital Territory, South Australia)</li> </ol>
EnergyAustralia Yallourn Pty Ltd
5. Electricity Retail Licence (Victoria) 6. Electricity Generation Licence (Victoria) Ecogen Energy Pty Ltd
7. Electricity Generation Licence (Newport Power Station & Jeeralang Power Station A & B)
Banpu Energy Australia Limited:
<ol> <li>Authorised Electricity Retailer (Queensland, New South Wales, Australian Capital Territory, South Australia, Tasmania)</li> </ol>

#### 2.7 Compliance management

- a) Provide evidence of compliance management which demonstrates how the compliance systems the applicant has (or will have) in place will ensure compliance with all the relevant regulatory obligations required by an electricity generation licence.
- b) Provide a copy of the applicant's compliance management strategy. A statement should also be provided (or supporting document must make it clear) whether the strategy has been developed in line with any Australian or International Standard (for example, AS ISO 19600:2015).

#### Response

#### Compliance management:

EnergyAustralia's Regulatory Compliance Policy affirms our commitment to meeting our compliance obligations and embedding a strong, proactive compliance culture across the organisation. The policy has been approved by the Board and underpins EnergyAustralia's compliance management system (CMS).

Under the CMS, a Three Line of Defence model defines clear accountability across the organisation, with governance oversight from the Board and dedicated compliance functions. Performance is tracked through regular reporting and independent reviews. This ensures EnergyAustralia maintains a strong compliance culture and will meet its regulatory responsibilities for this application.

#### Compliance management strategy:

EnergyAustralia's has a formal management strategy in place, as outlined in our Board-approved Regulatory Compliance Policy. This strategy is supported by a Compliance Management System which aligns with AS ISO 37301:2023, ensuring consistent identification, management and monitoring of regulatory obligations required by an electricity generational licence.

Attachment: 2.7 Regulatory Compliance Policy.pdf


#### Attachment reference:

## 2.8 Material agreements

Provide copies of agreements entered into, or intended to be entered into, by the applicant that are material to the undertaking of the electricity generation activity. Agreements that are material to the undertaking of the electricity generation activity may include:

- a) Connection agreements, such as a Generator Connection Agreement and Generator Project Agreement with a generation facility.
- b) Any contract concerning the construction and delivery of the project (sometimes commonly referred to as a Project Construction and Coordination Deed (PCCD) or Engineering, Procurement and Construction Agreement).
- c) Any Network Services Agreements.
- d) Any contracts concerning the managerial aspects of the activity (sometimes commonly referred to as a Management Services Agreement or Asset Management Agreement).
- e) Any contract concerning the ongoing operations and maintenance of the transmission assets (sometimes commonly referred to as an Operations and Maintenance Agreement).
- f) Any contract concerning the sale of electricity from the generator under a Power Purchase Agreement.

#### Attachment reference:

#### Response

Connection Agreements:

Wooreen executed connection agreements with AEMO and AusNet on 5 November 2024. Please find attached:

- · 2.8 Project Construction and Co-ordination Deed
- · 2.8 AusNet Services Side Deed
- . 2.8 Use of System Agreement

Construction and operational contracts:

Please find attached:

- · 2.8 Supply Agreement
- · 2.8 Works Agreement
- . 2.8 Construction Management Agreement
- · 2.8 Asset Management Agreement
- . 2.8 Long Term Services Agreement

Revenue contract:

Attachment: 2.8 Battery Energy Storage Services Agreement.pdf

## 2.9 Engagement with Energy Safe Victoria

Provide details about the applicant's engagement with Energy Safe Victoria and any copies of correspondence regarding the proposed electricity generation facility.

Response
Engagement with Energy Safe Victoria:
EnergyAustralia has met with Energy Safe Victoria (ESV) to brief the ESV on the project. The ESV also explained the requirements of the Electrical Safety Act 1998 (Vic).
Attachment: 2.9 Email to Energy Safe Victoria (with attachment).pdf
SERVED FOR THE PROPERTY OF THE

#### Attachment reference:

#### 2.10 Additional information

Provide any additional information the applicant considers relevant to the commission's assessment of the applicant's technical capacity.

Response	
Other information: None	

## 3. Financial viability

#### 3.1 Financial resources

The applicant must provide a statement that will be made available to the public during the consultation period that the applicant has the financial resources to commence and sustainably perform the relevant licensable activities.

Provide a statement to confirm that:

- a) the applicant is financially viable and has the financial resources to sustainably operate the electricity generation facilities, and
- b) the applicant will be a registered market participant with the Australian Energy Market Operator for its electricity generation activities, therefore subject to the prudential requirements under the National Electricity Rules.

The commission reserves the right to conduct a financial viability assessment and require the applicant to produce information and documents it considers appropriate to complete such an assessment

Response	
The applicant confirms that it is financially viable and has the financial resources to commence and sustainably operate the Wooreen BESS. The Wooreen BESS is jointly owned by EnergyAustralia and Banpu Energy Australia, both of which are established and financially robust operators of generation assets in Australia.	
The applicant will be a registered market participant with the Australian Energy Market Operator (AEMO) for its electricity generation activities and will therefore be subject to the prudential requirements under the National Electricity Rules.	
***************************************	
***************************************	

## 4. Fit and proper person

The applicant must answer all questions in this section.

In deciding whether to grant or refuse a licence application, the commission will consider whether the applicant is a fit and proper person to hold a licence in Victoria.

The concept of a 'fit and proper person' is established by common law and takes its meaning from its context, from the activities in which the person is or will be engaged, and the ends to be served by those activities.

In considering whether an applicant is a fit and proper person, we will have regard to the applicant's honesty, integrity and reputation. These are relevant factors as they can inform an assessment of the likelihood of future conduct.

We will also consider the conduct of directors, office holders or any person with significant managerial duties or influence. We will also consider the conduct of related bodies corporate or entities that can exert control over the applicant.

- a) Have any directors of the applicant, directors of any entity that can exert control over the applicant, or any person with significant managerial responsibility or influence on the applicant:
  - (i) been declared bankrupt,
  - (ii) had their affairs placed under administration,
  - (iii) been disqualified from managing a company,
  - (iv) been subject to debt judgements, or
  - (v) insolvency proceedings (including any administration, liquidation or receivership in connection with the affairs of a company)?

If yes, provide details:

Response
No.
***************************************

over the applicant or any person with significant managerial responsibility or influence on the applicant been prosecuted for any offences or had any enforcement action taken under any state, territory, Commonwealth or foreign legislation (including, but not limited to, the Competition and Consumer Act 2010, Corporations Act 2001, or the Australian Securities and Investments Commission Act 2001)? If yes, provide details: Response No. c) Has the applicant, any directors of the applicant, any related body corporate, or any person with significant managerial responsibility or influence on the applicant been involved in any material breaches of obligations regulated by the commission or any other regulator? If yes, provide details: Response No. d) Has the applicant, any directors of the applicant, any related body corporate, or any person with significant managerial responsibility been under investigation in relation to its regulatory obligations or is currently bound by an enforceable undertaking? If yes, provide details: Response No.

b) Has the applicant, any directors of the applicant, directors of any entity that can exert control

70	nsibility or influence on the applicant, been refused a licence or authorisation, or had
restri	cted, suspended or revoked any such licence or authorisation (in any jurisdiction)?
If yes	s, provide details:
Respon	se
No.	
******	
	de any other information the applicant considers relevant to the commission's fit and er person assessment.
Respon	se
None.	
Additio	nal information
<u> </u>	
	the following questions and, where the answer to any question is "no" (except for question
b)), prov	ide further detail.
a) l	s the applicant a resident of, or does it have permanent establishment in, Australia?
Respon	se
Yes.	
	s the applicant under external administration (as defined in the Corporations Act 2001) or under a similar form of administration under any laws applicable to it in any jurisdiction?
Respon	se
No.	
	s the applicant immune from suit in respect of the obligations under the Electricity Industry Act 2000?
Respon	se
No.	
d) I	s the applicant capable of being sued in its own name in a court of Australia?
Respon	se
Vac	

e) Has the applicant, any related body corporate or any person with significant managerial

## 5. Commission objectives

The applicant must answer all questions in this section.

In deciding whether to grant or refuse an electricity generation licence application, the commission must consider its objectives under the *Electricity Industry Act 2000* and the *Essential Services Commission Act 2001* (ESC Act).

Our primary objective under the ESC Act, when performing our functions and exercising our powers, is to promote the long-term interests of Victorian consumers. In seeking to achieve this objective, we must have regard to the price, quality, and reliability of essential services and the matters set out in section 8A to the extent they are relevant.

In seeking to achieve the objectives specified in section 8, the commission must have regard to the matters to the extent that they are relevant in any particular case.

Provide any information the applicant considers relevant to the commission's consideration of its objectives outlined in:

- Section 8 of the ESC Act (also see section 8A of the ESC Act); and
- Section 10 of the Electricity Industry Act 2000.

#### Response

The Wooreen BESS is jointly owned by EnergyAustralia and Banpu Energy Australia, both established operators of generation assets in Australia. The Wooreen BESS is committed to supporting the Essential Services Commission's objectives under the Essential Services Commission Act 2001 (ESC Act) and the Electricity Industry Act 2000.

The Commission's primary objective under the ESC Act is to promote the long-term interests of Victorian consumers with respect to the price, quality, and reliability of essential services. The operation of the Wooreen BESS will directly contribute to these objectives by:

- Increasing the overall energy capacity and flexibility available to the Victorian electricity network;
- Supporting the reliability and security of supply by storing energy during periods of surplus and dispatching it during periods of high demand or system stress;
- Enabling greater integration of renewable energy sources, thereby supporting the Victorian Government's decarbonisation and net zero targets;
- Providing immediate response capability to sudden outages or fluctuations, helping to avert blackouts and brownouts for Victorian consumers.

The project will also contribute to economic development in the region through job creation during construction and ongoing operations, and by supporting local supply chains.

Wooreen BESS is being developed and operated in full compliance with the ESC Act and the Electricity Industry Act 2000. The project's risk management, health, safety, and environmental systems are aligned with best practice and are closely monitored throughout delivery and operation. EnergyAustralia and Banpu Energy Australia are committed to responsible market participation,

an	stomer prote d sustainab	ections, and ility of Vic	supporting toria's elec	the long-ten tricity supp	m reliability, ly.	affordability,
*****						ronunon
,						**************
*****		***************************************			***************************************	**************

## 6. Statutory declaration

All the information provided in this application and attached documents for an electricity generation licence must be true and correct and must be verified by a statutory declaration. This statutory declaration must be made by the applicant (where the applicant is an individual) or a director of the applicant (where the applicant is a corporation) and must be made in accordance with the requirements of the Oaths and Affirmations Act 2018.

An example statutory declaration form can be found <u>here</u>. Information for authorised witnesses can be found <u>here</u>.

The statutory declaration must address the following:

- a) identification of the declarant's position and/or role with the applicant
- b) that the declarant believes the information provided in the application to be true and correct
- that the declarant believes the applicant has the financial resources to commence and operate the activities the subject of the licence.

I [name]	]
of [addr	ess]
make th	ne following statutory declaration under the Oaths and Affirmations Act 2018 (Victoria):
b) The	at I am the director of [insert company name and details] information provided in this application (including any attachments) to the Essential rvices Commission for an electricity generation licence is true and correct
	ake this declaration conscientiously believing these matters to be true and knowing that a statutory declaration that I know to be untrue is an offence.
l make i	it knowing that making a statutory declaration that I know to be untrue is an offence.
Date:	
Signatu	re:
10 Ti.	are of person making this statutory declaration in the presence of the authorised statutory tion witness)
Declare	d at: on

#### Witness

I am an authorised statutory declaration witness and I sign this document in the presence of the person making the declaration:

......

[signature of authorised statutory declaration witness]

on [date]

[full name and personal or professional address of authorised statutory declaration witness in legible writing, typing or stamp]

[qualification as an authorised statutory declaration witness]

A person authorised under section 30(2) of the *Oaths and Affirmations Act 2018* to witness the signing of a statutory declaration.

#### Response

Please find attached executed Statutory Declaration. Attachment: 6 Statutory declaration.pdf