# Scoping Plan Approval Form

Project-Based Activities ­– Benchmark Rating

Version 1.0 – 11 December 2018

As an accredited person (AP) you must have submitted an application for scoping plan approval to us, the Essential Services Commission, to be eligible to begin work on a project designed to create Victorian energy efficiency certificates (VEECs) under the benchmark rating method of the Victorian Energy Upgrades (VEU) program’s project-based activities (PBA). An application for project plan approval must also be submitted to us before the completion of project works (the activity end date) in order for the project to be eligible to create VEECs.

Applicants should refer to the **Benchmark Rating Method Activity Guide** and **Benchmark Rating Method Compliance Requirements** for assistance in completing this form. These documents and all other related guides and forms can be found at the commission website at [www.esc.vic.gov.au/benchmark-rating](http://www.esc.vic.gov.au/benchmark-rating).

Applications for scoping plan approval are made via the VEU Registry at [www.veu-registry.vic.gov.au](https://www.veu-registry.vic.gov.au).

To lodge an application for project plan approval, you must also have, or be applying for, scoping approval. You can lodge scoping and project plan applications together or separately. The scoping plan must be approved first.

To lodge an application for scoping plan approval, you must first have an active VEU account and be accredited and approved by us to undertake PBA.

Visit [www.veu-registry.vic.gov.au](http://www.veu-registry.vic.gov.au) to open a new account. Information about becoming accredited can be found in the Application Guide for Accredited Persons, found at [www.esc.vic.gov.au/become-veu-accredited](http://www.esc.vic.gov.au/become-veu-accredited).

To lodge your application for scoping plan approval, you must:

1. answer all relevant questions on this form
2. prepare all relevant supporting documentation referenced in this form
3. upload this form and the supporting documentation in the ‘scoping plan attachments’ on the scoping plan tab of your PBA benchmark rating project on the VEU Registry
4. fill out all other required fields on the scoping plan tab and submit to the commission.

We may require further information and/or require an inspection of the project premises before granting or refusing scoping plan approval. The latest information on current processing times for scoping plan applications can be found at [www.esc.vic.gov.au/pba](http://www.esc.vic.gov.au/pba). We will notify you of our decision in writing as soon as practicable after it has been made.

Participation in PBA benchmark rating projects will require the publication of some project details on the VEU Registry. Projects with approved project plans will be listed on the Register of Approved Project Plans available at [www.veu-registry.vic.gov.au/register-projects](http://www.veu-registry.vic.gov.au/register-projects). The register will contain the project name, the AP’s name, the location, the methods used to abate greenhouse gases, and any other information that we consider appropriate.

If you have concerns about sharing information that you consider are commercial in confidence, you should discuss these with us before lodging a project plan.

1. Applicant details

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| Applicant details | | | | | | | | | | | | | | | | | | | |
| **Q1**. VEU account name: | | | | Click here to enter text. | | | | | | | | | | | | | | | |
| **Q2**. Name of accredited person (AP): | | | | Click here to enter text. | | | | | | | | | | | | | | | |
| **Q3**. AP’s address: | | | Click here to enter text. | | | | | | | | | | | | | | | | |
| **Q4**. Name of primary contact: | | | Click here to enter text. | | | | | | **Q5**. Job title | | | | | Click here to enter text. | | | | | |
| **Q6**. Email: | | | Click here to enter text. | | | | | | **Q7**. Phone number | | | | | Click here to enter text. | | | | | |
| **Q8**. Project address: | Click here to enter text. | | | | | | | | City/Suburb/Town: | | | | Click here to enter text. | | | | | | |
| or Lot/DP number or GPS co-ordinates  *where there is no valid site address* | | | | | Click here to enter text. | | | | | State: | Enter text. | | | | | Postcode: | Enter text. | | |
| **Q9**. What are the normal commercial/business activities at the site? | | | | | | | | Click here to enter text. | | | | | | | | | | |  |
| **Legal right** | | | | | | | | | | | | | | | | | | | |
| **Q10**. Has the energy consumer provided an energy retailer document which identifies the energy consumer? | | | | | | | | | | | | | | | Choose an item. | | | | |
| *Attach site energy document. Note this can be a document such as an energy bill (with energy consumption data blanked out) or an official letter from the energy retailer. The document must identify the energy consumer and the site address* | | | | | | | | | | | | | | | | | | | |
| **Attached energy retailer document file name:** | | | | | | | Click here to enter text. | | | | | | | | | | |  | |
| **Q11**. Is the AP also the energy consumer? | | | | | | | | | | | | | | | Choose an item. | | | | |
| *If* ***no****, attach completed* ***Registration of Interest Form*** *to demonstrate that the energy consumer is aware of the project and agrees to progress the application. Note: this is not a legally binding agreement.* | | | | | | | | | | | | | | | | | | | |
| **Attached Registration of Interest Form file name:** | | | | | | | Click here to enter text. | | | | | | | | | | |  | |
| **Q12**. Is the energy consumer also the entity who will be stated on the benchmark rating reports and certificates as the rating holder? | | | | | | | | | | | | | | | Choose an item. | | | | |
| *If* ***no****, attach a document explaining the relationship between the site owner, energy consumer and any other entity involved in the site. For example, explain the site owner, site lease holder and site operator relationship, if these are different entities* | | | | | | | | | | | | | | | | | | | |
| **Attached site ownership structure file name:** | | | | | | | | Click here to enter text. | | | | | | | | | | |  |
| **Q13**. Is the AP, site owner, or energy consumer represented by an agent? | | | | | | | | | | | | | | | Choose an item. | | | | |
| Name of agent’s representative | | Click here to enter text. | | | | | Job title | | | | | Click here to enter text. | | | | | | | |
| Email | | Click here to enter text. | | | | | Contact number | | | | | Click here to enter text. | | | | | | | |
| **Q14**. What will the agent do during the project (where applicable)? | | | | | | Provide a ***statement*** that broadly describes the responsibilities and limits of the representative’s involvement, when the representative will communicate with the commission and when the commission should contact the agent instead of the AP | | | | | | | | | | | | | |

1. Project

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| Project background | | | | | | | | | | | | | |
| **Q15**. What is the project name? | | Click here to enter text. | | | | | | | | | | | |
| *The name must be unique, suitable and not misleading about the project’s ownership, scope or purpose and follow the convention of [company name]\_[site]\_[project purpose]\_[start date] e.g. FinanceCompany\_Ballarat\_HVAC Upgrade\_Dec 2018* | | | | | | | | | | | | | |
| **Q16**. Will the project be undertaken at a business or non-residential premises? | | | | | | | | | Choose an item. | | | | |
| **Q17**. If the project is being undertaken at a residential premises, does the project relate to services which are not supplied exclusively to a sole-occupancy dwelling? | | | | | | | | | Choose an item. | | | | |
| **Q18**. Please confirm that the project’s abatement does not come from the construction of a new building | | | | | | | | | | |  | | |
| **Q19**. Is the project on a site that is on the Register of Scheduled Activity Premises (SAP)? | | | | | | | | Choose an item. | | | | | |
| **Q20**. When will project modifications/construction commence (indicative only)? | | | | | | | | | | DD/MM/YY | | | |
| **Q21**. Which service(s) will be affected? (Tick as many as relevant) | | | | | | | | | | | | | |
| * Building management systems (BMS) | | | | | | | | | | | |  | |
| * Server rooms and related services | | | | | | | | | | | |  | |
| * Lift/escalator services | | | | | | | | | | | |  | |
| * Signage | | | | | | | | | | | |  | |
| * Car park services | | | | | | | | | | | |  | |
| * Boiler, steam and hot water services | | | | | | | | | | | |  | |
| * Commercial refrigeration | | | | | | | | | | | |  | |
| * Industrial refrigeration | | | | | | | | | | | |  | |
| * Compressed air | | | | | | | | | | | |  | |
| * Motor, pump and fan services | | | | | | | | | | | |  | |
| * Cogeneration / tri-generation | | | | | | | | | | | |  | |
| * Commercial heating, ventilation and air conditioning (HVAC) | | | | | | | | | | | |  | |
| * Industrial heating, ventilation and cooling | | | | | | | | | | | |  | |
| * Lighting | | | | | | | | | | | |  | |
| * Other – please list   *If the relevant type of equipment is not covered by any combination of the above.* | | | | | | | | | | | |  | |
| Provide a ***list*** of any other relevant services which will be affected by the project. | | | | | | | | | | | | | |
| **Q22**. Is lighting equipment to be installed or removed as part of the project? | | | | | | | | Choose an item. | | | | | |
| If ***yes****,* are any lighting products included which are not applicable to an Activity 21, 27, 34 or 35 installation environment? If so, please contact the commission to discuss details. | | | | | | | | | Choose an item. | | | | |
| **Q23**. What project design, scoping, meter installation, asset installation, asset modification and/or project construction work has already started? | | | | Click here to enter text. | | | | | | | | | |
| **Energy** | | | | | | | | | | | | | |
| **Q24**. What current energy sources will be affected? Please tick the boxes below as appropriate (as many as applicable). | | | | | | | | | | | | | |
| Electricity |  | | Liquefied petroleum gas (LPG) | | | | | | | | | |  |
| Natural gas |  | | Renewable energy | | | | | | | | | |  |
| Other energy sources affected (please provide details) | | | | | Click here to enter text. | | | | | | | | |
| **Q25**. Does the project involve fuel switching to non-renewable fuels including fossil fuels, waste from fossil fuel sources, or wood from native forests (other than wood waste from a native forest which is a waste product from the construction of buildings or furniture, including timber off-cuts and timber from demolished buildings)? | | | | | | | | Choose an item. | | | | | |
| **Q26**. What new energy sources will be introduced? Please tick the boxes below as appropriate (as many as applicable). | | | | | | | | | | | | | |
| Electricity |  | | Liquefied petroleum gas (LPG) | | | | | | | | | |  |
| Natural gas |  | | Renewable energy | | | | | | | | | |  |
| Other energy sources introduced (please provide details) | | | | | Click here to enter text. | | | | | | | | |
| **Greenhouse gas emissions** | | | | | | | | | | | | | |
| **Q27.** Identify the nominated benchmark administrator and process intended to be used to calculate the reduction in greenhouse gases | | | | | | | Choose an item. | | | | | | |
| If **other**, please state which benchmark administrator and process you intend to use | | | | | | Click here to enter text. | | | | | | | |
| **Q28.** Explain how the project will reduce greenhouse gas emissions | | | | Click here to enter text. | | | | | | | | | |
| **Q29**. Detail the project’s participation in other greenhouse gas abatement schemes/energy efficiency schemes/emissions reduction schemes. | | | | Click here to enter text. | | | | | | | | | |

1. Documents to be supplied

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| **Insurance** | | | | | |
| **Q30**.Will this project be carried out at your own site? | | | Choose an item. | | |
| If ***yes***, please attach *a signed* Insurance *Waiver* *Declarat*ion *available at* [*www.esc.vic.gov.au/benchmark-rating*](http://www.esc.vic.gov.au/benchmark-rating)*.* | | | | | |
| **Document file name:** | | Click here to enter text. | | |  |
| If **no**, confirm that you have and will maintain appropriate levels of insurance while participating in this project. | | | |  | |
| Application format | | | | | |
| **Q31**. Have you provided the information required in this form in another format (for example, your own template scoping plan)? **You must still submit this form with Sections 4 and 5 completed.** | | | Choose an item. | | |
| *If* ***yes****, have you had this format approved by the commission?* | | | Choose an item. | | |
| **Project name:** | Click here to enter text. | | | |  |
| **Document file name:** | Click here to enter text. | | | |  |
| **Please note:** scoping plan applications will only be accepted if provided in this application form, unless you have prior approval from the commission. Please contact us via [veu@esc.vic.gov.au](mailto:veu@esc.vic.gov.au) to discuss your proposed template. | | | | | |

1. Undertakings

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| Applicant’s undertakings in relation to scoping plan approval | |
| **Q32.** I undertake that if any new lighting equipment is to be installed in carrying out the activity, at the time of installation that equipment will be listed on the Register of Products maintained by the commission. |  |
| **Q33.** I undertake that if any lighting equipment is to be installed in carrying out the activity it will be decommissioned. |  |
| **Q34.** I undertake that the project is not undertaken to comply with energy efficiency or greenhouse gas emissions requirements in any minimum standard or mandatory requirement under any legislation. |  |

1. Declaration

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| Declaration | | | | |
| **Q35**. I certify that all of the above details are correct at the time of completing this form and that I am authorised to act on behalf of the VEU account listed in Section 1 of this document in matters relating to the Victorian Energy Efficiency Target Act 2007.  I have read and understood the information and requirements set out in **Benchmark Rating Method Activity Guide** and **Benchmark Rating Method Compliance Requirements***.*  I will liaise with all benchmark administrator assessors who have provided, or will provide, benchmark ratings which will be used to calculate the number of VEECs to be created by this project in order to obtain information and records, including but not limited to: evidence of the measurement boundaries, metering and sub-metering arrangements and all other information required to calculate those ratings. I will retain these records for a period ending no sooner than six years following the registration of the last (VEEC) for this project.  I consent to the provision of the following information owned by my organisation to the Department of Environment, Land, Water and Planning (DELWP) and the administrators of other greenhouse gas abatement schemes/energy efficiency schemes/emissions reduction schemes (such as the Emissions Reduction Fund (ERF) and the Renewable Energy Target (RET)):   * Information which may include confidential and commercially sensitive information relating to activities undertaken or proposed to be undertaken once accredited under the VEU program * information to determine if VEU projects are already claiming benefits under these other prescribed schemes.   I declare that I am aware that a project is eligible to create VEECs if it:   * reduces greenhouse gas emissions while maintaining current service levels and/or production capacity. * reduces greenhouse gas emissions and if service levels are reduced this is to correct over-servicing.   I declare that I am aware that a project is not eligible to create VEECs if:   * it reduces greenhouse gas emissions by reducing current service or production levels * it participates in other greenhouse gas abatement schemes/energy efficiency schemes/emissions reduction schemes, except as noted in section 22(b) of the Victorian Energy Efficiency Target (Project-Based Activities) Regulations 2017.   If lighting equipment is to be removed or installed as part of the project, I declare that the current (baseline) lighting equipment has been in place for at least 6 months.  I declare that I am aware that the project may be ineligible to create VEECs if the scoping plan has not been received before the following work has commenced at the premises:   * installing or modify an asset, other than a meter * commencing project construction work.   I acknowledge that:   * I must assess all relevant risks pertaining to this project, and have appropriate safe work methods and other systems in place to manage those risks. * all projects must be undertaken in accordance with the laws, regulations and codes of practice applicable to that activity * to obtain full project approval, any required information that I have not provided in the scoping plan application must be included in the subsequent project plan application * penalties may be applied for providing misleading information under Section 68 of the Victorian Energy Efficiency Target Act 2007.   I have conducted due diligence that allows me to declare that the project team holds, or can access, the specialist knowledge, skills and capacity required to deliver this project.  I have updated the commission of any changes to answers and information provided in Appendix A of our previously supplied Project-Based Activities Accreditation Application Formand the Victorian statutory declaration attached to that application, which have occurred since that application was approved.  I will update the commission of any changes to answers and information provided in Appendix A of our previously supplied Project-Based Activities Application Formand the Victorian statutory declaration attached to that application within 28 days of the change occurring. | | | | |
| Click here to enter text.  ……………………………………  Name |  | ………………………………………  Signature |  | DD/MM/YY  ……………………..  Date |
| Click here to enter text.  ……………………………………  Name (witness) |  | ………………………………………  Signature |  | DD/MM/YY  ……………………..  Date |